

RAW SEQUENCE LISTING ERROR REPORT

The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Scrial Number:	09/993,234A
Source:	1FW16 -
Date Processed by STIC:	4/13/04

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS. PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:

1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or,

TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

FOR CRF SUBMISSION AND PATENTIN SOFTWARE QUESTIONS, PLEASE CONTACT MARK SPENCER, TELEPHONE: 703-308-4212; FAX: 703-308-4221 Effective 12/13/03: TELEPHONE: 571-272-2510; FAX: 571-273-0221

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE CHECKER VERSION 4.1 PROGRAM, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW FOR ADDRESS:

http://www.uspto.gov/web/offices/pac/checker/chkr41note.htm

Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail Please consider using alternate methods of submission for the disk/CD-Rom or replacement disk/CD-Rom. Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be sent via the following to the indicated addresses:

- 1. EFS-Bio (<http://www.uspto.gov/ebc/efs/downloads/documents.htm>, EFS Submission User Manual - ePAVE)
- 2. U.S. Postal Service: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450
- 3. Hand Carry directly to (EFFECTIVE 12/01/03): U.S. Patent and Trademark Office, Box Sequence, Customer Window, Lobby, Room 1B03, Crystal Plaza Two. 2011 South Clark Place, Arlington, VA 22202
- 4. Federal Express, United Parcel Service, or other delivery service to: U.S. Patent and Trademark Office, Box Sequence, Room 1B03-Mailroom, Crystal Plaza Two, 2011 South Clark Place, Arlington, VA 22202

Revised 10/08/03



IFW16

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RAW SEQUENCE LISTING
                                                          DATE: 04/13/2004
                PATENT APPLICATION: US/09/993,234A
                                                          TIME: 14:32:52
                Input Set : A:\P1007P1D1.txt
                Output Set: N:\CRF4\04132004\1993234A.raw
 delite - shown -) SEQUENCE LISTING
 7 SEQUENCE LISTING
 9 (1) GENERAL INFORMATION:
        (i) APPLICANT: Ashkenazi, Avi J.
11
       (ii) TITLE OF INVENTION: Apo-2 LI AND Apo-3 POLYPEPTIDES
13
      (iii) NUMBER OF SEQUENCES: 28
15
17
       (iv) CORRESPONDENCE ADDRESS:
18
             (A) ADDRESSEE: Genentech, Inc.
19
             (B) STREET: 1 DNA Way
20
             (C) CITY: South San Francisco
21
             (D) STATE: California
22
             (E) COUNTRY: USA
23
             (F) ZIP: 94080
                                                               JP 2-4
25
       (v) COMPUTER READABLE FORM:
26
             (A) MEDIUM TYPE: 3.5 inch, 1.44 Mb floppy disk
27
             (B) COMPUTER: IBM PC compatible
28
             (C) OPERATING SYSTEM: PC-DOS/MS-DOS
29
             (D) SOFTWARE: WinPatin (Genentech)
31
       (vi) CURRENT APPLICATION DATA:
32
             (A) APPLICATION NUMBER: US/09/993,234A
33
             (B) FILING DATE: 19-Nov-2001
34
             (C) CLASSIFICATION:
44
      (vii) PRIOR APPLICATION DATA:
37
             (A) APPLICATION NUMBER: 08/828683
             (B) FILING DATE: 31-MAR-1997
41
             (A) APPLICATION NUMBER: 08/625328
42
             (B) FILING DATE: 1-Apr-1996
45
             (A) APPLICATION NUMBER: 08/710802
46
             (B) FILING DATE: 23-Sep-1996
48
    (viii) ATTORNEY/AGENT INFORMATION:
49
             (A) NAME: Marschang, Diane L.
50
             (B) REGISTRATION NUMBER: 35,600
51
             (C) REFERENCE/DOCKET NUMBER: P1007P1D1
53
       (ix) TELECOMMUNICATION INFORMATION:
54
             (A) TELEPHONE: 650/225-5416
55
             (B) TELEFAX: 650/952-9881
```

887 (2) INFORMATION FOR SEQ ID NO: 21:

(i) SEQUENCE CHARACTERISTICS:

(A) LENGTH: 197 amino acids

ERRORED SEQUENCES

889

890

RAW SEQUENCE LISTING

PATENT APPLICATION: US/09/993,234A

DATE: 04/13/2004 TIME: 14:32:52

Input Set : A:\P1007P1D1.txt E--> 891 (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 21: Met Gly Leu Ser Thr Val Pro Asp Leu Leu Pro Leu Val Leu Leu Glu Leu Leu Val Gly Ile Tyr Pro Ser Gly Val Ile Gly Leu Val Pro His Leu Gly Asp Arg Glu Lys Arg Asp Ser Val Cys Pro Gln Gly Lys Tyr Ile His Pro Gln Asn Asn Ser Ile Cys Cys Thr Lys Cys His Lys Gly Thr Tyr Leu Tyr Asn Asp Cys Pro Gly Pro Gly Gln Asp Thr Asp Cys Arg Glu Cys Glu Ser Gly Ser Phe Thr Ala Ser Glu Asn His Leu Arg His Cys Leu Ser Cys Ser Lys Cys Arg Lys Glu Met Gly Gln Val Glu Ile Ser Ser Cys Thr Val Asp Arg Asp Thr Val Cys Gly Cys Arg Lys Asn Gln Tyr Arg His Tyr Trp Ser Glu Asn Leu Phe Gln Cys Phe Asn Cys Ser Leu Cys Leu Asn Gly Thr Val His Leu Ser Cys Gln Glu Lys Gln Asn Thr Val Cys Thr Cys His Ala Gly Phe Phe Leu Arg Glu Asn Glu Cys Val Ser Cys Ser Asn Cys Lys Lys Ser Leu Glu Cys Thr Lys Leu Cys Leu Pro 938 (2) INFORMATION FOR SEQ ID NO: 22: (i) SEQUENCE CHARACTERISTICS: (A) LENGTH: 167 amino acids (B) TYPE (PRT) same ever E--> 942 (D) TOPOLOGY: Linear (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 22: Met Leu Gly Ile Trp Thr Leu Leu Pro Leu Val Leu Thr Ser Val Ala Arg Leu Ser Ser Lys Ser Val Asn Ala Gln Val Thr Asp Ile Asn Ser Lys Gly Leu Glu Leu Arg Lys Thr Val Thr Thr Val Glu Thr Gln Asn Leu Glu Gly Leu His His Asp Gly Gln Phe Cys His Lys Pro Cys Pro Pro Gly Glu Arg Lys Ala Arg Asp Cys Thr Val Asn Gly Asp Glu Pro Asp Cys Val Pro Cys Gln Glu Gly Lys Glu 965 Tyr Thr Asp Lys Ala His Phe Ser Ser Lys Cys Arg Arg Cys Arg

RAW SEQUENCE LISTING DATE: 04/13/2004 PATENT APPLICATION: US/09/993,234A TIME: 14:32:52

Input Set : A:\P1007P1D1.txt

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```
966
                                              100
     968
         Leu Cys Asp Glu Gly His Gly Leu Glu Val Glu Ile Asn Cys Thr
     969
                          110
                                              115
     971
          Arg Thr Gln Asn Thr Lys Cys Arg Cys Lys Pro Asn Phe Phe Cys
     972
                          125
                                              130
     974
          Asn Ser Thr Val Cys Glu His Cys Asp Pro Cys Thr Lys Cys Glu
     975
                          140
                                               145
     977
          His Gly Ile Ile Lys Glu Cys Thr Leu Thr Ser Asn Thr Lys Cys
     978
                          155
                                               160
     980
         Lys Glu
     983 (2) INFORMATION FOR SEQ ID NO: 23:
              (i) SEQUENCE CHARACTERISTICS:
     985
                   (A) LENGTH: 78 amino acids
     986
E--> 987
                   (B) TYPE PRT
                   (D) TOPOLOGY: Linear
     988
     990
             (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 23:
     992
         Val Val Glu Asn Val Pro Pro Leu Arg Trp Lys Glu Phe Val Arg
     993
                           5
                                               1.0
     995
          Arg Leu Gly Leu Ser Asp His Glu Ile Asp Arg Leu Glu Leu Gln
     996
                           2.0
     998
         Asn Gly Arg Cys Leu Arg Glu Ala Gln Tyr Ser Met Leu Ala Thr
     999
                           35
                                                40
     1001 Trp Arg Arg Arg Thr Pro Arg Arg Glu Ala Thr Leu Glu Leu Leu
     1002
                            50
                                                 55
     1004 Gly Arg Val Leu Arg Asp Met Asp Leu Leu Gly Cys Leu Glu Asp
     1005
                            65
     1007 Ile Glu Glu
     1010 (2) INFORMATION FOR SEQ ID NO: 24:
     1012
              (i) SEQUENCE CHARACTERISTICS:
     1013
                    (A) LENGTH: 27 amino acids
                    (B) TYPE PRT
E--> 1014
                    (D) TOPOLOGY: Linear
     1015
     1017
             (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 24:
           Ile Ala Gly Val Met Thr Leu Ser Gln Val Lys Gly Phe Val Arq
     1019
     1020
           1
                             5
                                                                     15
     1022
           Lys Asn Gly Val Asn Glu Ala Lys Ile Asp Glu Ile Lys Asn Asp
     1023
     1025 Asn Val Gln Asp Thr Ala Glu Gln Lys Val Gln Leu Leu Arg Asn
     1026
                            35
                                                 40
    1028 Trp His Gln Leu His Gly Lys Lys Glu Ala Tyr Asp Thr Leu Ile
     1029
                            50
    1031 Lys Asp Leu Lys Lys Ala Asn Leu Cys Thr Leu Ala Glu Lys Ile
    1032
                            65
     1034 Gln Thr
     1037 (2) INFORMATION FOR SEQ ID NO: 25:
     1039
              (i) SEQUENCE CHARACTERISTICS:
    1040
                    (A) LENGTH: amino acids
E--> 1041
                    (B) TYPE: PRT
                    (D) TOPOLOGY: Linear
     1042
```

RAW SEQUENCE LISTING DATE: 04/13/2004 PATENT APPLICATION: US/09/993,234A TIME: 14:32:52

Input Set : A:\P1007P1D1.txt

Output Set: N:\CRF4\04132004\1993234A.raw

```
1044
              (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 25:
     1046
           Ile Cys Asp Asn Val Gly Lys Asp Trp Arg Arg Leu Ala Arg Gln
     1047
                                                 10
     1049
           Leu Lys Val Ser Asp Thr Lys Ile Asp Ser Ile Glu Asp Arg Tyr
     1050
                             20
                                                 25
     1052
           Pro Arg Asn Leu Thr Glu Arg Val Arg Glu Ser Leu Arg Ile Trp
     1053
                                                 40
     1055
           Lys Asn Thr Glu Lys Glu Asn Ala Thr Val Ala His Leu Val Gly
     1056
                             50
     1058
           Ala Leu Arg Ser Cys Gln Met Asn Leu Val Ala Asp Leu Val
     1059
                             65
     1061 (2) INFORMATION FOR SEQ ID NO: 26:
               (i) SEQUENCE CHARACTERISTICS:
     1063
     1064
                     (A) LENGTH: amino acids
                     (B) TYPE PRT
E--> 1065
                     (D) TOPOLOGY: Linear
     1066
     1068
              (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 26:
     1070
           Asn Arg Pro Leu Ser Leu Lys Asp Gln Gln Thr Phe Ala Arg Ser
     1071
                             5
     1073
           Val Gly Leu Lys Trp Arg Lys Val Gly Arg Ser Leu Gln Arg Gly
     1074
                             20
     1076
           Cys Arg Ala Leu Arg Asp Pro Ala Leu Asp Ser Leu Ala Tyr Glu
     1077
     1079
           Tyr Glu Arg Glu Gly Leu Tyr Glu Gln Ala Phe Gln Leu Leu Arg
     1080
                             50
                                                 55
     1082
           Arg Phe Val Gln Ala Glu Gly Arg Arg Ala Thr Leu Gln Arg Leu
     1083
                             65
     1085
          Val Glu
     1088 (2) INFORMATION FOR SEQ ID NO: 27:
     1090
               (i) SEQUENCE CHARACTERISTICS:
     1091
                     (A) LENGTH:
                                   amino acids
E--> 1092
                     (B) TYPE PRT
                    (D) TOPOLOGY, Linear
     1093
              (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 27:
     1097
           Ile Arg Glu Asn Leu Gly Lys His Trp Lys Asn Cys Ala Arg Lys
     1098
                                                 10
                                                                      15
     1100
           Leu Gly Phe Thr Gln Ser Gln Ile Asp Glu Ile Asp His Asp Tyr
     1101
                            20
     1103 Glu Arg Asp Gly Leu Lys Glu Lys Val Tyr Gln Met Leu Gln Lys
     1104
                            35
     1106
           Trp Val Met Arg Glu Gly Ile Lys Gly Ala Thr Val Gly Lys Leu
                                                 55
     1107
                            50
     1109
          Ala Gln Ala Leu His Gln Cys Ser Arg Ile Asp Leu Leu Ser Ser
     1110
                                                 70
     1112 Leu Thr
     1115 (2) INFORMATION FOR SEQ ID NO: 28:
     1117
               (i) SEQUENCE CHARACTERISTICS:
     1118
                    (A) LENGTH: 63 amino acids
E--> 1119
                    (B) TYPE: PRT
```

RAW SEQUENCE LISTING

DATE: 04/13/2004 TIME: 14:32:52

PATENT APPLICATION: US/09/993,234A

Input Set : A:\P1007P1D1.txt

Output Set: N:\CRF4\04132004\I993234A.raw

1120		(D) TO:	POLO	GY:]	Linea	ar							
1122	(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 28:													
1124	Met Al	a Val	Ala	Phe	Tyr	Ile	Pro	Asp	Gln	Ala	Thr	Leu	Leu	Arg
1125	1			5					10					15
1127	Glu Al	a Glu	Gln	Lys	Glu	Gln	Gln	Ile	Leu	Arg	Leu	Arg	Glu	Ser
1128				20					25					30
1130	Gln Tr	o Arg	Phe	Leu	Ala	Thr	Val	Val	Leu	Glu	Thr	Leu	Lys	Gln
1131				35					40					45
1133	Tyr Th	r Ser	Cys	His	Pro	Lys	Thr	Gly	Arg	Lys	Ser	Gly	Lys	Tyr
1134				50					55					60
1136	Arg Ly	s Pro												

VERIFICATION SUMMARY

DATE: 04/13/2004 TIME: 14:32:53

PATENT APPLICATION: US/09/993,234A

Input Set : A:\P1007P1D1.txt

Output Set: N:\CRF4\04132004\I993234A.raw

L:7 M:244 W: Invalid beginning of sequence listing, Data=[SEQUENCE LISTING], Duplicate Sequence Listing Title!

L:32 M:220 C: Keyword misspelled or invalid format, [(A) APPLICATION NUMBER:]

L:33 M:220 C: Keyword misspelled or invalid format, [(B) FILING DATE:]

L:890 M:241 E: Invalid Alpha Header Field, [TYPE:], SeqNo=21

L:941 M:241 E: Invalid Alpha Header Field, [TYPE:], SeqNo=22

L:986 M:241 E: Invalid Alpha Header Field, [TYPE:], SeqNo=23

L:1013 M:241 E: Invalid Alpha Header Field, [TYPE:], SeqNo=24

L:1040 M:241 E: Invalid Alpha Header Field, [TYPE:], SeqNo=25

L:1064 M:241 E: Invalid Alpha Header Field, [TYPE:], SeqNo=26

L:1091 M:241 E: Invalid Alpha Header Field, [TYPE:], SeqNo=27

L:1118 M:241 E: Invalid Alpha Header Field, [TYPE:], SeqNo=28